


INFORMATION DISCLOSURE CITATION IN AN APPLICATION 				Docket Number		Application Number		
				22333-010-401		09/960,759		
				Applicant(s)				
				Douglas FRAZIER				
Filing Date		Group Art Unit						
September 21, 2001		3753						
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
Gw Gw	A	6,308,728 B1	10/30/2001	Frazier	137	312		
	B	6,395,417 B1	05/28/2002	Frazier	429	49		
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
Gw	1.	Spill Containment Regulations Summary (begins with 1991), [undated], pages 3-7.						
	2.	ACRAN Products and Services for the Back-up Power Industry "Neutra-Mat", CTL-255, 1/15/92, 1 page.						
	3.	ACRAN Products and Services for the Back-up Power Industry, "Safefflor", CTL-258, 1/15/92, 1 page.						
	4.	ACRAN Products and Services for the Back-up Power Industry "Spill Containment Barrier", CTL-257, 2/11/92, 1 page.						
	5.	ACRAN Products and Services for the Back-up Power Industry "Spill Containment Pits", CTL-256, 2/12/92, 1 page.						
	6.	1994 Uniform Fire Code - "Article 64-Stationary Lead-Acid Battery Systems", <u>IFCI Fire Code Journal</u> , Section 64.101, 4 pages.						
	7.	VAN RAVENSWAAY, E.O., "Nonferrous Metals Industry: Copper Metal Processing", EPA, Office of Compliance, Sector Notebook Project, Report No EPA 310-R-95-010, 2000, 3 pages.						
	8.	YUASA-EXIDE, "Installation Instructions for YUASA EXIDE, Inc., Spill Containment Systems (SCS)", Section 80.15, Rev. 8/95, rev. C, Pages 1-7.						
	9.	1997 Uniform Fire Code, Volume 1 - "Article 64 - Stationary Lead-Acid Battery Systems", Section 6401, 3 pages.						
	10.	SLUDER, R., "Improvements in Acid-Proofing of Concrete and Steel", 1997, pages 1-6.						
	11.	PGI Technical Bulletin, "Copper Heap Leaching - A Case for PVC Liners", May 1997, pages 1-5.						
	12.	Minnesota Pollution Control Agency, "Spent Lead-Acid Batteries - Requirements for Generators", Hazardous Waste Division Fact Sheet #4.06, June 1997, pages 1-4.						
	13.	Department of Natural Resources, "Public Concerns Regarding the Proposed Crandon Mine and DNR Answers", Last revised 9/20/99, pages 1-5.						
	14.	Minnesota Pollution Control Agency, "Spent Lead-Acid Batteries - Requirements for Transporters", Hazardous Waste Division Fact Sheet #4.08, September 1997, pages 1-4.						
	15.	Ramsey Group, Inc., Lead-Acid Battery Safety Products Brochure, [varying dates].						
	16.	DURKIN, T.V., TOWNSEND, R.D., and CEPAC, M.D., "South Dakota Gold Mining: Regulations, Compliance, and Environmental History", Presented at Society for Mining, Metallurgy, and Exploration, March 9-11, 1998, 15 pages.						
	17.	Wisconsin Department of Natural Resources, "Waste Lead Acid Batteries: Management Requirements for Generators and Transporters", PUBL-WA-385, 4/98, pages 1-5.						
	18.	1999 Uniform Fire Code - "Article 64 - Stationary Lead-Acid Battery Systems", pages 2-7.						
	19.	C&D TECHNOLOGIES, INC., Power Solutions, "Flooded Battery Racks", 1999, 7 pages.						
	20.	ETP, INC., "Liners", 1999, 1 page.						
	21.	"Environment Compliance for SLAB Systems", <u>Power Quality Assurance</u> , May/June 1999, 3 pages.						

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22.	2000 Uniform Fire Code - "Article 64 - Stationary Lead-Acid Battery Systems", 2 pages.
23.	Wiman Plastics Corp., Safety Data Sheet, "Flexible Vinyl Sheets & Film", 01/01/00, 2 pages.
24.	COTTON, K., "Noncompliance Can Cost You", <u>Power Quality Assurance</u> , March 2001, pages 13-18.
25.	Colorado Lining International, "Coolguard/Urethanes", last modified 4/23/01, 3 pages.
26.	APR, "Rigid and Flexible Liner", last modified 5/24/01, 2 pages.
27.	Ramsey Group, Battery Safety Products, printed 7/17/01, 4 pages.
28.	INTRAPACK CORP., "The New Innovation in Spill Containment from IntraPack", faxed 10/9/01, 2 pages.
29.	EnerSys "Spill Containment Systems", Jan. 2002, 2 pages.
30.	Enviroguard, "Enviroguard Kit: EGS CRL 20-24", 1999, 4 pages.
31.	Enviroguard, "Polypropylene Containment Pan Product Specification", 1999, 2 pages.
32.	Ramsey Group, Battery Safety Products, printed 4/18/02.
33.	Enviroguard, "Spill Containment Systems - A Containment Solution for Every Application", printed 2/12/03, 4 pages.
34.	American Lining Corporation, "Drop in bag type flexible liners for tanks, trenches, pits, floors, vats, secondary containment, and corrosion control", printed 2/18/03, 3 pages.
35.	Calicorp, "Steel Spill Containment Systems for Battery Rooms", last modified 2/18/98, 2 pages.
36.	Calicorp, "The Batt-Mat", last modified 12/16/97, 2 pages.
37.	Fabrico, "Flexible PVC and other material liners for tanks and containment areas", printed 2/18/03, 3 pages.
38.	KTH Sales, Inc., "Corrosion Resistant Specialties", updated 1/99, 2 pages.
39.	ACRAN "End-to-End Racks", [undated], 2 pages.
40.	ACRAN "Spill Control", [undated], 5 pages.
41.	ACRAN Products and Services for the Backup Power Industry, "Acurack - A Comprehensive Battery Rack System", [undated], 1 page.
42.	CDF, "Heavy Duty Contour Tank Liners", [undated], 3 pages.
43.	Enviroguard brochure, [undated], 4 pages.
44.	Enviroguard Compliance and Safety Solutions Brochure, [undated], 4 pages.
45.	Enviroguard Compliance and Safety Solutions Brochure, [undated], 11 pages.
46.	Enviroguard Kit 28-200, [undated], 3 pages.
47.	Enviroguard "Condor System", [undated], 1 page.
48.	Enviroguard "Eagle System", [undated], 1 page.
49.	Enviroguard "Hawk System", [undated], 1 page.
50.	Enviroguard "Hydrogen Monitor Product Specification", [undated], 1 page.
51.	Enviroguard "Modular Spill Containment Product Specification", [undated], 1 page.
52.	Enviroguard "Raven System", [undated], 1 page.
53.	Enviroguard "Sparrow System", [undated], 1 page.
54.	"Environmental Compliance and Safety for Stationary Lead-Acid Battery System (SLABS)", [undated], 2 pages.
55.	Field Lining Systems, Inc. "Plating Galvanizing & Anodizing", [undated], 4 pages.
56.	Field Lining Systems, Inc., "Products Available List", [undated], 5 pages.
57.	Field Lining Systems, Inc. "Concrete Embedments - Stud Liners", [undated], 3 pages.
58.	U.S. Liner Company, "Flexible Drop-In Liner", [undated], 2 pages.
59.	Vernoguard, "Containment Liner", [undated], 2 pages.
60.	Vernoguard, "Fuel Resistant Membrane", [undated], 2 pages.
61.	Spill Containment and Safety Products; A High Profit, Low Investment Opportunity.

EXAMINER

DATE CONSIDERED

George L. Walton

9/22/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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GROUP 3700 INFORMATION DISCLOSURE CITATION IN AN APPLICATION Form PTO-1449				Docket Number		Application Number	
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		B1					
	B	U.S. 6,395,417	5/28/2002	Frazier et al.	429	49	
		B1					
	C	5,454,195	10/3/1995	Hallsten	52	169.1	
	D	4,765,775	08/23/1988	Kroger	405	52	
	E	5,399,445	03/21/1995	Tinker	429	90	
	F	5,882,142	3/16/1999	Siglin et al	405	52	
	G	5,464,492	11/7/1995	Gregory et al	156	246	
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	M	5,722,551	03/03/1998	Cocciemiglio, Jr.	220	4.33	
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	O	5,704,476	01/06/1998	Abbott	206	386	
	P	2,173,736	09/19/1939	Thomas			
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	X						
	Y						
	Z						
	AA						
	BB						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
Gw	1	Resume of Bruce H. Dick, 4 pages
	2	1995 Supplement to the Uniform Fire Code, "Article 64", 1995, 4 pages
	3	ACRAN Products and Services for Back-up Power Industry, "Safefior", CTL-258, 1/15/92, 1 page.
	4	ACRAN Products and Services for Back-up Power Industry, "Spill Containment Barrier", CTL-257, 2/11/92, 1 page.
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GW	8	ACRAN "End-to-End Racks", <undated>, 2 pages.
	9	Calicorp, "Aqueous Acid and Battery Electrolyte Spill Containment Products" <undated>, 2 pages.
	10	YUASA-EXIDE, "Yuasa-Exide Spill Containment System-New Product Announcement", <undated>, 4 pages.
	11	Independent Power & Light, "Information on Battery Boxes" <undated>, 3 pages.
	12	Minnesota Pollution Control Agency, "Spent Lead-Acid Batteries-Requirements for Generators", Hazardous Waste Division Fact Sheet #4.06, June 1997, pages 1-4.
	13	Wisconsin Department of Natural Resources, "Waste Lead Acid Batteries: Management Requirements for Generators and Transporters," PUBL-WA-385, 4/98, pages 1-5.
	14	Expert Report of Bruce H. Dick, <i>Ramsey Group, Inc v EGS International, et al</i> , 1:02CV77, U.S.D.C. Western District of North Carolina, June 16, 2003, 24 pages.
	15	Supplemental Expert Report of Bruce H. Dick, <i>Ramsey Group, Inc. v EGS International, et al</i> , 1:02CV77, U.S.D.C. Western District of North Carolina, September 3, 2003, 17 pages.
	16	Enviroguard brochure, <undated>, 3 pages.
	17	Enviroguard Compliance and Safety Solutions Brochure, [undated], 11 pages
	18	Enviroguard Kit 28-200, [undated], 3 pages.
	19	Enviroguard "Condor System", [undated], 1 page.
	20	Enviroguard "Eagle System", [undated], 1 page.
	21	Enviroguard "Hawk System", [undated], 1 page.
	22	Enviroguard "Raven System", [undated], 1 page.
	23	Calicorp, "Batt-Mat", product profile, [undated], 7 pages
	24	Calicorp, Invoices [various dates], 2 pages
	25	Calicorp, Aqueous Acid and Battery Electrolyte Spill Containment Products, 1998, 4 pages
	26	Calicorp, Aqueous Acid and Battery Electrolyte Spill Containment Products, 1999, 3 pages
	27	Calicorp, Spill Containment Systems, CAL551-210, 5 pages
	28	Calicorp, "Battery Room Environmental Safety Product", 13 pages
	29	Minnesota Pollution Control Agency, "Spent Lead-Acid Batteries - Requirements for Generators", Hazardous Waste Division Fact Sheet #4.06, June 1997, pages 1-4.
	30	Minnesota Pollution Control Agency, "Managing Spent Lead-Acid Batteries", January 1995, 2 pages
GW	31	Minnesota Pollution Control Agency, "Used Lead-Acid Battery Storage", August 1991, 3 pages

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